JAP7 Rec'd PCT/PTO 3 1 MAY 2006'
PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER				
DESIGNATED/ELECTED OFFICE (DO/EO/US)			6325/76258-PCT-US U.S. APPLICATION NO. (If known, see 37 CFR 1.5)				
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			10/578,118				
_	TIONAL APPLICATION NO. CN2004/001275	INTERNATIONAL FILING DATE November 9, 2004	PRIORITY DATE CLAIMED				
TITLE OF	INVENTION	November 9. 2004	November 12, 2003				
UMBRELLA DEVICE APPLICANT(S) FOR DO/EO/US							
Wing Hang HO							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
		oncerning a submission under 35 U.S.C. 37					
2.	2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3. 🔲	3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
l —	The Go has been cleated (value on).						
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
	a. is attached hereto (required only if not communicated by the International Bureau).						
	b. has been communicated by the International Bureau.						
I	c is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. 🗀	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
	a. Lis attached hereto.						
$I_{\scriptscriptstyle{-}}$	•	tted under 35 U.S.C. 154(d)(4).					
7. 🗀	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a. are attached hereto (required only if not communicated by the International Bureau).						
	b. have been communicated by the International Bureau.						
	 c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 						
8. 🗆	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern document(s) or information included:							
11. 🔲	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. 🔲	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. 🔲	A preliminary amendment.						
14.	An Application Data Sheet under 37 CFR 1.76.						
15. 🗆	A substitute specification.						
16. 🔲	A power of attorney and/or change of address letter.						
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19.	A second copy of the English language	ge translation of the international application	n under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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	IO. (II KIIOWII, 888 37 CFR 1.5)	INTERNATIONAL A	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/578,118		PCT/CN2004	PCT/CN2004/001275		6325/76258-PCT-US	
	or information: rn Receipt Posto	card				
The following	fees have been submitted	· · · · · · · · · · · · · · · · · · ·		CALCULATIONS	PTO USE ONLY	
21. Basic natio	onal fee (37 CFR 1.492(a))	\$	FIOUSEONET			
	n fee (37 CFR 1.492(c))			 		
If the written opinion pro by IPEA/US inc	epared by ISA/US or the inter licates all claims satisfy provi	\$				
If the written opinion of IPEAUS indica Search fee (37 CFR 1.4 International Search Repreviously communications of the International Search Repreviously communications opinions opinio	(37 CFR 1.492(b)) the ISA/US or the Internation tes all claims satisfy provision (45(a)(2)) has been paid on the earching Authority port prepared by an ISA othe nunicated to the US by the IB	\$				
TOTA	L OF 21, 22 and 23 =					
sequence listing electronic media	pecification and drawings filed g in compliance with 37 CFR um) (37 CFR 1.492(j)). for each additional 50 sheets	1.821(c) or (e) or computer p	program listing in an			
	Sheets Number of each thereof (round u	Number of each additional 50 or fraction RATE thereof (round up to a whole number)				
- 100 =	/50 =		× \$250	\$	·	
arter the date of comme	or furnishing any of the search neement of the national stage	n fee, examination fee, or the e (37 CFR 1.492(h)).	oath or declaration	\$ 130.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	- 20 =		× \$ 50	\$	1 -	
Independent claims	- 3 =		x \$200	\$		
MULTIPLE DEPENDEN	T CLAIM(S) (if applicable)	\$				
Applicant claims on	all antitude to a constant		CALCULATIONS =	\$		
Applicant dains sm	all entity status. See 37 CFR	1.27. Fees above are reduc	ced by 1/2.			
Processing for of \$420	00 for 6 minhing the Faction		SUBTOTAL =	\$ 65.00	<u> </u>	
claimed priority date (37	00 for furnishing the English t CFR 1.492(i)).	ranslation later than 30 mont	ths from the earliest	\$		
			NATIONAL FEE =	\$ 65.00		
Fee for recording the end by an appropriate cover :	closed assignment (37 CFR 1 sheet (37 CFR 3.28, 3.31). \$-	I.21(h)). The assignment mu 40.00 per property	st be accompanied +	\$		
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65.00 DA				Amount to be refunded:	\$	
				Amount to be	\$	

06/05/20 01 FC:26

a. A check in the amount of \$ to cover the above fr	ees is enclosed.				
b. Please charge my Deposit Account No. 03-3125 in the amount of \$ 6 A duplicate copy of this sheet is enclosed.	Please charge my Deposit Account No. 03-3125 in the amount of \$ 65.00 to cover the above fees.				
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-3125</u> . A duplicate copy of this sheet is enclosed.					
	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: Robert D. Katz, Esq. COOPER & DUNHAM LLP 1185 Avenue of the Americas New York, NY 10036 Tel. (212) 278-0400 Fax (212) 391-0525 Email: rkatz@cooperdunham.com	SIGNATURE Robert D. Katz NAME 30,141 REGISTRATION NUMBER				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Wing Hang HO

U.S. Serial No.:

10/578,118

U.S. Filed

May 1, 2006

Int'l

Filing Date

November 9, 2004

International

Application No.:

PCT/CN04/001275

Claiming Priority:

November 12, 2003

For

UMBRELLA DEVICE

1185 Avenue of the Americas New York, New York 10036 May 31, 2006

Mail Stop: PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SIR:

EXPRESS MAIL CERTIFICATE OF TRANSMITTAL FOR ABOVE-IDENTIFIED APPLICATION

Express Mail mailing label number EV 325347908 US

Date of Deposit May 31, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313,1450.

Printed Name: Ryan Hinds / John Fernandez

Respectfully submitted

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Attorney for the Applicant

IN THE UNITED STATES DESIGNATED/ELECTED (DO/EO/US) OFFICE

Applicant:

Wing Hang HO

U.S. Serial No.:

10/578,118

U.S. Filed:

May 1, 2006

For:

UMBRELLA DEVICE

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

SUBMISSION OF DECLARATION AND POWER OF ATTORNEY

In connection with the above-identified Non-provisional Patent Application submitted on May 1, 2006, applicants hereby submit a signed Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63.

The surcharge for submitting the Declaration and paying any fees under 37 C.F.R. §1.16(e) is \$65.00 for a small entity. Accordingly, applicants hereby authorize the Commissioner to charge the amount of \$65.00 to Deposit Account No. 03-3125 in connection with the filing of this Declaration.

If any other fees are required in connection with the filing of this Declaration, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

Robert D. Katz

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